11-21-03

Docket No. 1232-5307

## NITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Isao HAYASHI

Group Art Unit:

2838

Serial No.:

10/786,865

Examiner:

Nguyen, Matthew Van

Filed:

February 24, 2004

For:

AC ADAPTOR

#### **INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching. For each of the following items listed on the enclosed copy of Form PTO-1449 that is 1. not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed: 2. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not 3. enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

Docket No. 1232-5307 Serial No. 10/786,865 81 97(b)(2) within three months of the date of entry into the

		national stage as set forth in §1.491 in an international application; or				
	$\boxtimes$	37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or				
		37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.				
5.	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specif in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.					
6.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in raph 4 above but before the mailing date of a final action or a notice of ance (where there has been no prior final action):				
		A check in the amount of \$180.00 is enclosed in payment of the fee.				
		Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.				
7.	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statem it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date action or a notice of allowance, whichever comes first, but before payment of fee, and is accompanied by:					
		ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and				
		e fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow.				
8.	This I	nformation Disclosure Statement is being filed in compliance with:				
	a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);				
	b	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).				

Docket No. <u>1232-5307</u> Serial No. 10/786,865

		c. The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9.		I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
		I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10.	$\boxtimes$	This document is accompanied by $\square$ a Search Report $\boxtimes$ Communication which was cited in a corresponding $\square$ PCT or $\boxtimes$ Foreign counterpart application
11.		A check in the amount of \$ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
		Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
	X	The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. <u>13-4500</u> , Order No. <u>1232-5307</u> . A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
		Respectfully submitted, MORGAN & FINNEGAN, L.L.P.
Dat	ed: <u>No</u>	by: MokoAiv & Finite Carl, J. L.1.  By: Oseph\D. Eng, Jr.  Registration No. 54,084
Cor	respor	ndence Address:
		N & FINNEGAN, L.L.P.
		Financial Center  K, NY 10281-2101
		-8700 Telephone
`	,	-8701 Facsimile

Docket No. <u>1232-5307</u> Serial No. 10/786,865

		c. The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9.		I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
		I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10.	$\boxtimes$	This document is accompanied by $\square$ a Search Report $\boxtimes$ Communication which was cited in a corresponding $\square$ PCT or $\boxtimes$ Foreign counterpart application
11.		A check in the amount of \$ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
		Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
	X	The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. <u>13-4500</u> , Order No. <u>1232-5307</u> . A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
		Respectfully submitted,
Dat	ed: <u>N</u> o	by: MORGAN & FINNEGAN, L.L.P.  By: oseph\D. Eng, Jr.  Registration No. 54,084
Cor	respor	ndence Address:
		N & FINNEGAN, L.L.P.
		Financial Center  c, NY 10281-2101
		-8700 Telephone
•	,	-8701 Facsimile

NOW 17 200'S BY NOW 17 200'S B

Docket No. 1232-5307

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Isao HAYASHI

Group Art Unit: 2838

Serial No.:

10/786,865

Examiner:

Matthew Van Nguyen

Filed:

February 24, 2004

For:

AC ADAPTOR

#### **EXPRESS MAIL CERTIFICATE**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.: EV 497 663 363US

Date of Deposit: November 17, 2005

I hereby certify that the following attached paper(s) and/or fee

- 1. Information Disclosure Statement;
- 2. Form PTO 1449 along with 3 references;
- 3. Japanese Office Action;
- 4. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Susan Shen-Frattura

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile



## **FORM PTO-1449**

_	Attorney Docket: 1232-5307	Serial No.: 10/786,865
	Applicant: Isao HAYASHI	
	Filing Date: February 24, 2004	Group Art Unit: 2838

## INFORMATION DISCLOSURE CITATION

# U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	5,774,347	June 30, 1998	Nakanishi			
						<del></del>
						·····

		FOI	REIGN PATENT DOCUMENTS			And the second s
Examiner Initial	Patent Number	Publication Date	Country	Class	Sub- Class	Translation
	58-19923	Feb. 5, 1983	Japan			Xes □ No     (English Abstract)
	58-19922	Feb. 5, 1983	Japan			Yes No (English Abstract)
-	2002-10513	Jan. 11, 2002	Japan			Yes No (English Abstract)
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, etc.)	##1.1 #1.1 #1.2 ***
Japanese Office Action	

Examiner		Date Considered	·
EXAMINER:	Initial if reference considered, whether or not citation is in conform Draw line through citation if not in conformance and not considere Include copy of this form with next communication to Applicant.		